

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks with Simultaneous Equipment Design

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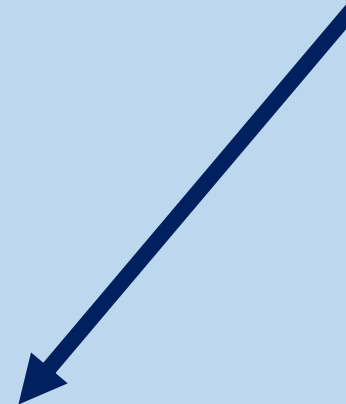
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Optimal Heat Exchanger Design  
(Gonçalves et al., 2016)

Globally Optimal Synthesis of  
Minimal Heat Exchanger Networks  
(Chang et al., submitted)



**Globally Optimal Synthesis of  
Minimal Heat Exchanger Networks  
with Equipment Design**

## Optimal Heat Exchanger Design

• *Design variables (discrete):*

1. Tube diameter
2. Shell diameter
3. Number of tube passes
4. Tube length
5. Pitch ratio
6. Layout
7. Number of baffle

Written as functions of binary variables



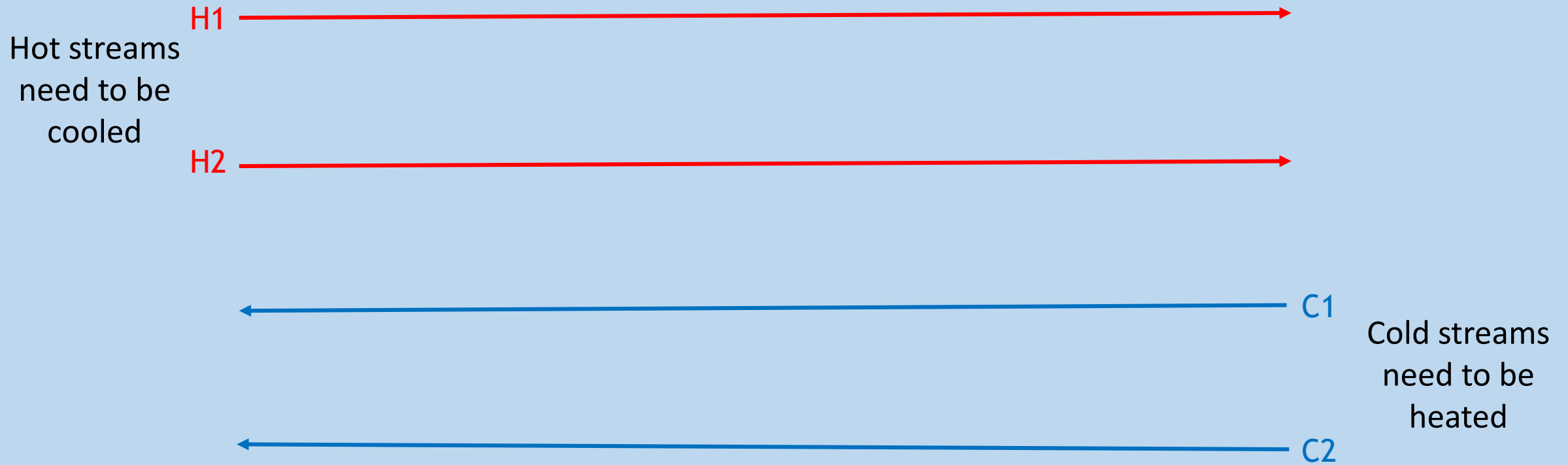
All the other model continuous variables can be written using the design variables, hence the binaries



Final model: **ILP**

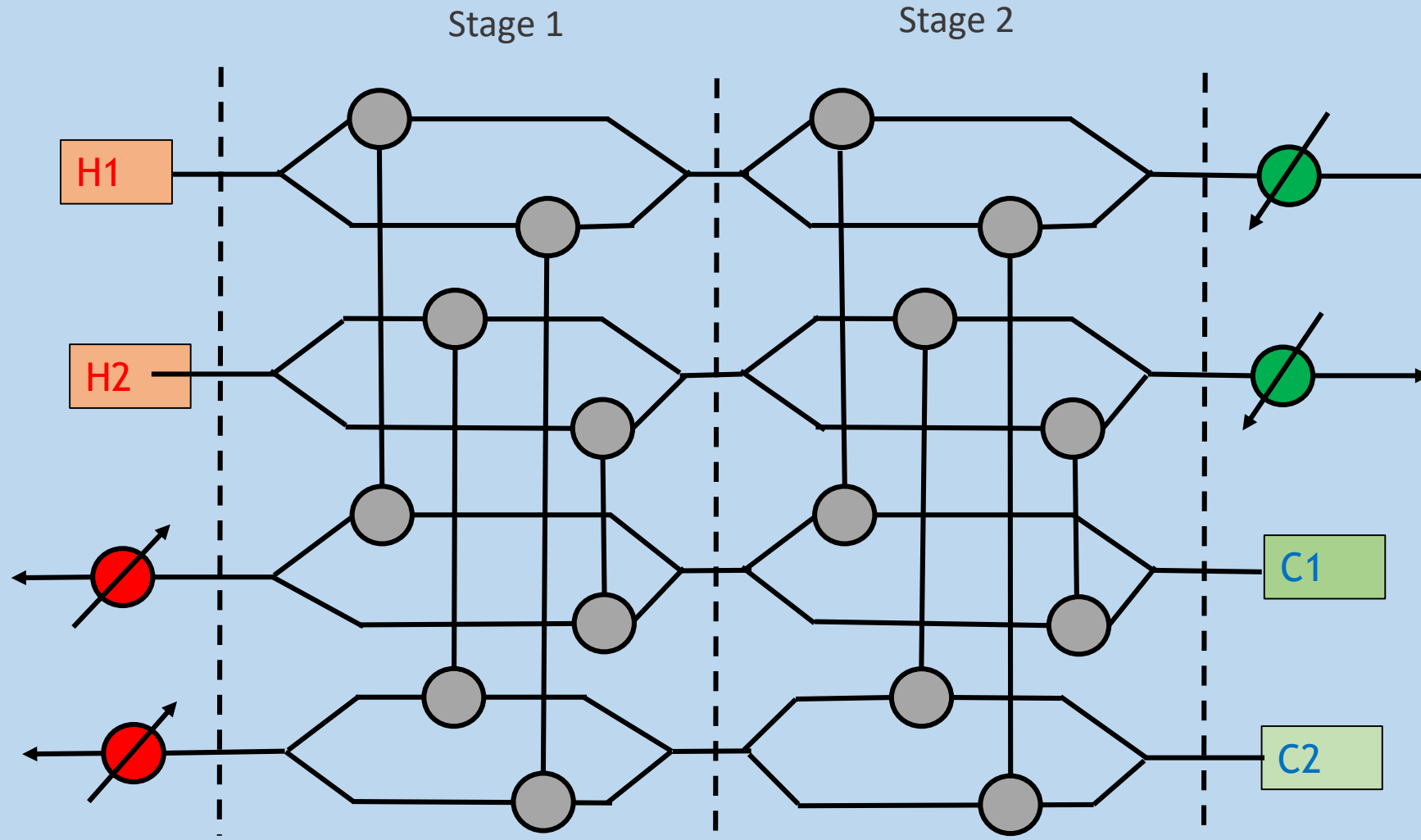
**WE USE A SET TRIMMING APPROACH**

## Heat Exchanger Network Synthesis



How to match these streams?

## Stagewise Superstructure (Yee and Grossmann, 1990)



## Globally Optimal Synthesis of Minimal Heat Exchanger Networks

### Models

PSTR  
Chang et al.(2020)

Min  $\alpha$   $\longrightarrow$  Dummy objective function

s.t.

Synheat constraints (except for area equations)

$$EMAT = EMAT_{min}$$

$$\sum_{i \in HP} \sum_{j \in CP} \sum_{k \in st} z_{i,j,k} + \sum_{i \in HP} zcu_i + \sum_{j \in CP} zhu_j = N \longrightarrow \text{Total number of matches equal to } N = NH + NC + NU - 1$$

**This model finds a Minimal Structure (without Energy Loops)**

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks

### Models

PEmin/Pemax  
Chang et al.(2020)

Min/Max E

s.t.

$$E = \sum_{j \in CP} qh u_j$$

$$EMAT = EMAT_{min}$$

$$\hat{E}_{min}^{user} \leq E \leq \hat{E}_{max}^{user}$$

$$\{z_{i,j,k} = 1, zhu_j = 1, zcu_i = 1\} \forall (i,j,k) \in MSTR_l \longrightarrow \text{Fixed matches}$$

**This model finds Minimum and Maximum Energy Consumption for a fixed Structure**

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks

### Models

PESTR  
Chang et al.(2020)

Min  $\alpha$   $\longrightarrow$  Dummy objective function

Subject to

$$\hat{E} = \sum_{j \in CP} qhu_j \longrightarrow \text{Fixed energy}$$

$$EMAT = EMAT_{min}$$

$$\{z_{i,j,k} = 1, zhu_j = 1, zcu_i = 1\} \forall (i,j,k) \in MSTR_l \longrightarrow \text{Fixed matches}$$

**This model finds the heat distribution for a given Energy consumption, EMAT minimum and a fixed Structure**



## Globally Optimal Synthesis of Minimal Heat Exchanger Networks

### Models

PSTRR  
Chang et al.(2020)

Min  $\alpha$   $\longrightarrow$  Dummy objective function

s.t.

$$EMAT = EMAT_{min}$$

$$\sum_{i \in H_P} \sum_{j \in C_P} \sum_{k \in S_t} z_{i,j,k} + \sum_{i \in H_P} zcu_i + \sum_{j \in C_P} zhu_j = N$$

$$\sum_{i,j,k \in MSTR_{l'}} (z_{i,j,k} + zcu_i + zhu_j) - \sum_{i,j,k \notin l'} (z_{i,j,k} + zcu_i + zhu_j) \leq card(MSTR_{l'}) - 1$$

**As the MSTR model, this model finds a Minimal Structure (without Energy Loops) excluding previous results**

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks with Equipment design

### Smart Global Search Algorithm

**Step 1** – Set  $UBTAC$  (best solution) =  $\infty$

**Step 2** – Solve  $PSTR$  to obtain a viable  $MSTR$

**Step 3** – For  $MSTR$ , solve  $PEmin$  – obtain  $E_{min}$

**Step 4** – For  $MSTR$ , solve  $PEmax$  – obtain  $E_{max}$

**Step 5** – Apply monotony test:

- Solve  $PESTR$  for  $E=E_{min}$ . Evaluate  $TAC$  ( $TAC_{min}$ )
- Solve  $PESTR$  for  $E=1.01E_{min}$ . Evaluate  $TAC$  ( $TAC_{min}^+$ )
- Solve  $PESTR$  for  $E=E_{max}$ . Evaluate  $TAC$  ( $TAC_{max}$ )
- Solve  $PESTR$  for  $E=0.99E_{max}$ . Evaluate  $TAC$  ( $TAC_{max}^-$ )

EACH EVALUATION IMPLIES

- 1) Obtaining areas
- 2) Calculate  $TAC$

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks with Equipment design

### Smart Global Search Algorithm

**Step 6** – If  $\{TAC_{min}^+ - TAC_{min}\}\{TAC_{max} - TAC_{max}^-\} < 0$  – not monotone, go to **Step 8**

**Step 7** – If  $\{TAC_{min}^+ - TAC_{min}\}\{TAC_{max} - TAC_{max}^-\} > 0$  – monotone

- If  $\{TAC_{min}^+ - TAC_{min}\} > 0$ ,  $TAC = TAC_{min}$ , go to **Step 9**
- If  $\{TAC_{min}^+ - TAC_{min}\} < 0$ ,  $TAC = TAC_{max}$ , go to **Step 9**

**Step 8** – Apply Golden Ratio Search to obtain the best  $TAC$ . Use *PESTR* and then solve the heat exchanger design to each one of the matches, to obtain  $TAC$  for each point

**Step 9** – If  $TAC \leq UBTAC$ , update  $UBTAC$

**Step 10** – Obtain another structure (*PSTRR*), if this is infeasible make  $N=N-1$ , if it continues to be infeasible go to **Step 11**, otherwise, go to **Step 3**

**Step 11** –  $UBTAC$  is the global optimum

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks with Equipment design

### Example data

Stream	$T_{IN}$ (°C)	$T_{OUT}$ (°C)	$F_{cp}$ (kW/°C)	$h$ (kW/m <sup>2</sup> °C)
H1	159.0	77.0	228.5	0.40
H2	267.0	88.0	20.4	0.30
H3	343.0	90.0	53.8	0.25
C1	26.0	127.0	93.3	0.15
C2	118.0	265.0	196.1	0.50
CU	20.0	40.0	-	0.53
HU	500.0	499.0	-	0.53

Heat exchanger cost:  $25000 + 55 \text{ area}$  (\$/y)

Utility cost:  $100 q_{hu} + 10 q_{cu}$  (\$/kW<sub>y</sub>)

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks with Equipment design Results

### Example data

Heat exchanger design (Kern model)	
Design variable	Number of alternative
Shell diameter	0.203, 0.254, 0.305, 0.307, 0.387, 0.438, 0.489, 0.540, 0.591, 0.635, 0.686, 0.737, 0.787, 0.838, 0.889, 0.9398, 0.9906, 1.0668, 1.143, 1.2192, 1.295, 1.3716, 1.448, 1.524, 1.600, 1.676, 1.753, 1.829, 1.981, 2.134, 2.286, 2.438, 2.591, 2.743, 2.896, 3.048
Tube diameter	0.01905, 0.02540, 0.03175, 0.03810, 0.5080
Number of tube passes	1, 2, 4, 6
Pitch ratio	1.25, 1.33, 1.50
Layout	1 (square), 2 (triangular)
Length	1.2195, 1.8293, 2.4390, 3.0488, 3.6585, 4.8768, 6.0976
Number of baffles	1 – 20

$$\Delta P_{disp} = 100 \text{ kPA}$$

Physical properties

$$C_p = 2268 \text{ J/kg.K}$$

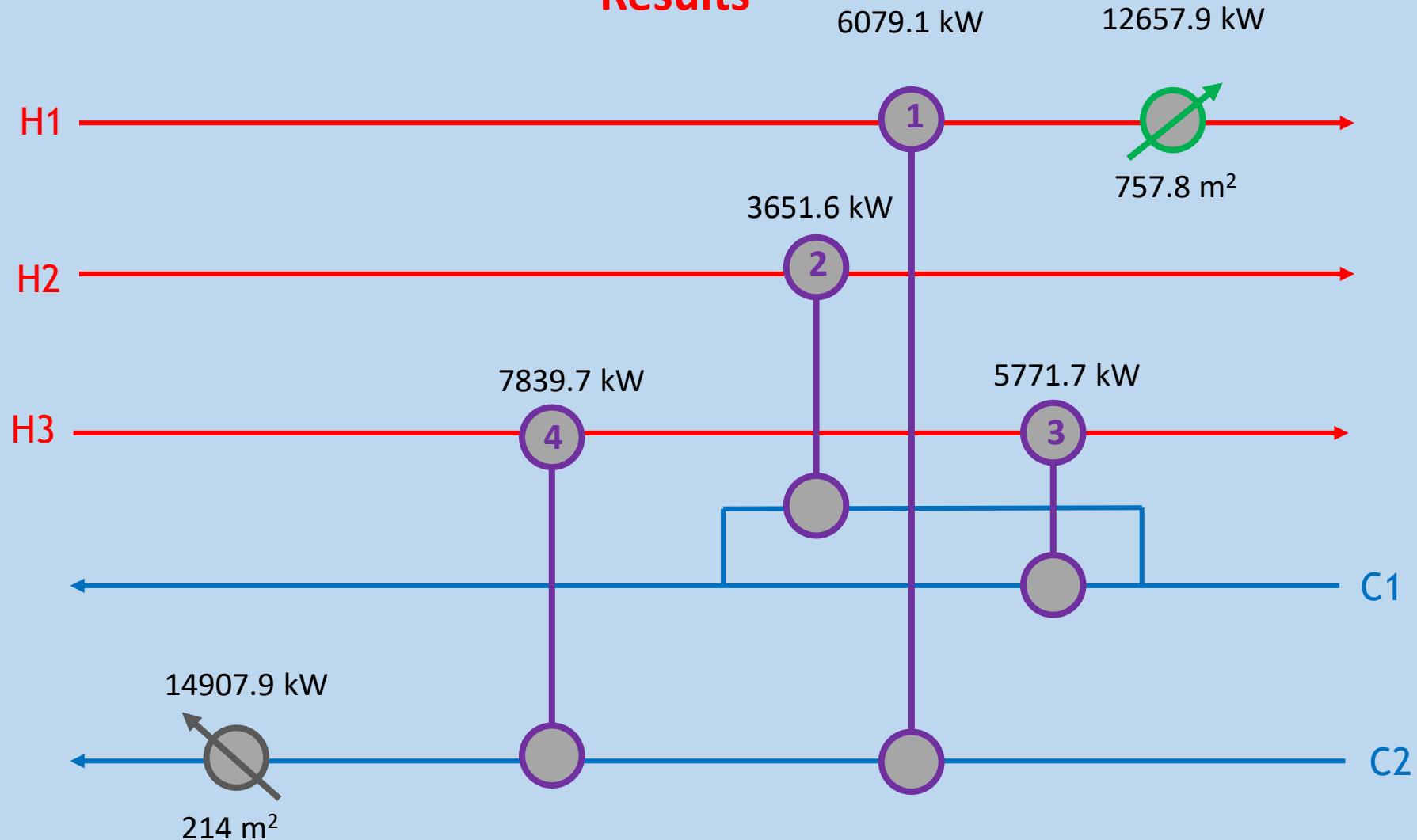
$$\rho = 883 \text{ kg/m}^3$$

$$\mu = 3.55 \cdot 10^{-3} \text{ Pa.s}$$

$$k = 0.1143 \text{ W/m.K}$$

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks with Equipment design

### Results



## Globally Optimal Synthesis of Minimal Heat Exchanger Networks with Equipment design

### Results

	Heat Exchanger			
Variable	1	2	3	4
$D_s$	1.295	0.438	0.540	0.9398
$dte$	0.0254	0.01905	0.01905	0.01905
$dti$	0.0221	0.01575	0.01575	0.01575
$N_{pt}$	6	4	4	4
$rp$	1.25	1.25	1.25	1.25
$lay$	2	2	2	2
$L$	4.8768	3.6585	4.8768	3.8565
$N_b$	17	20	20	16
$Area$	1585.4	120.9	245.2	278.3
$\Delta Pt$	96782	68564.7	95800.7	49123.3
$\Delta Ps$	65603.9	15132.7	43956.5	91458.9

**TAC = 1,943,453 \$/y**

## Globally Optimal Synthesis of Minimal Heat Exchanger Networks with Equipment design

### Conclusions

- We are able to obtain a Globally Optimal Minimal Heat Exchanger Network with simultaneous HEX design
- Energy consumption varies from structures obtained using the constant U model (Chang et al., submitted)
- The sizes of the exchangers vary.
- **THE STRUCTURE CAN VARY**
- Other examples must be tested to finish this work